

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of:

) Conf. No.: 3816

Applicant(s): Masaki et al.

) I hereby certify that this paper is being deposited with the  
 ) United States Postal Service as FIRST-CLASS mail in an  
 ) envelope addressed to: Mail Stop NON-FEE AMENDMENT  
 ) Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
 ) 22313-1450, on this date.

Serial No.: 09/808,540

Filed: March 14, 2004

) February 12, 2004  
 ) Date

*[Signature]*  
 Attorney for Applicant(s)  
 Registration No. 29,367

For: METHOD OF CONTROLLING  
 ROTATION OF OPTICAL RECORDING  
 MEDIUM AT TWO OR MORE  
 ROTATIONAL SPEEDS, AND  
 STORAGE APPARATUS EMPLOYING  
 SAME METHOD (As amended)

Art Unit: 2655

Examiner: Patel, Gautam

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

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FEB 23 2004

Technology Center 2600

Dear Sir:

This IDS is submitted under 37 C.F.R. 1.97(d), after the mail date of either a Final Office Action or a Notice of Allowance (whichever occurs first), with both:

- (a) a statement under 37 C.F.R. 1.97(e); and
- (b) the \$180.00 fee under 37 C.F.R. 1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

## Statement Under 37 C.F.R. §1.97(e):

(X) The enclosed references were cited in a Communication issued by the Japanese Patent Office (copy enclosed). Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

( ) Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. 1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

February 12, 2004

Respectfully submitted,

Customer Number 24978

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By: *[Signature]*

Patrick G. Burns, Reg. No. 29,367

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OTIP  
FEB 17 2004  
PATENT & TRADEMARK OFFICE

Form PTO-1449 (Rev. 8-88)  U.S. Department of Commerce Patent and Trademark Office  INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Attorney Docket No.: 0941.65295	Serial No.: 09/808,540
	Applicant: Masaki et al.	
	Filing Date: March 14, 2001	Group: 2655

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

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FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	05-347068	Dec. 27, 1993	Japan			Abs.	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	